



08-30-01  
sector #3  
Attorney Docket No. YOR920010401US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): D.M. Dias et al.  
Docket No.: YOR920010401US1  
Serial No.: 09/896,201  
Filing Date: June 29, 2001  
Group: Unassigned  
Examiner: Unassigned

"Express Mail" Label No. EL871094855US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: August 29, 2001

Signature: Judith E. Ryan

Title: Stateful Business-to-Business  
Protocol Exchange

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

Sir:

In response to the Notice to File Missing Parts dated August 23, 2001, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Form Cover Sheet;
- (3) Transmittal of Formal Drawings; and
- (4) Formal Drawings (8 sheets).

A copy of the Notice is enclosed herewith. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$130, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Wayne L. Ellenbogen

Date: August 29, 2001

Wayne L. Ellenbogen  
Reg. No. 43,602  
Attorney for Applicant(s)  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
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#4

Attorney Docket No. YOR920010401US1

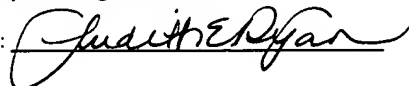
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application

Applicant(s): D.M. Dias et al.  
Docket No.: YOR920010401US1  
Serial No.: 09/896,201  
Filing Date: June 29, 2001  
Group: Unassigned  
Examiner: Unassigned

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Date of Deposit: August 29, 2001

Signature: 

Title: Stateful Business-to-Business  
Protocol Exchange

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TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Official Draftsperson

Sir:

In response to the Notice to File Missing Parts dated August 23, 2001, Applicants submit herewith eight (8) sheets of formal drawings to complete the above-referenced patent application.

A copy of the Notice is enclosed herewith. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,



Date: August 29, 2001

Wayne L. Ellenbogen  
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#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,201	06/29/2001	Daniel M. Dias	YOR920010401 US1

CONFIRMATION NO. 7571

## FORMALITIES LETTER



\*OC000000006467290\*

Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11562

Date Mailed: 08/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/31/2001 EABUBAK1 00000117 500510 09896201

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